Investor Complaints

INVESTOR COMPLAINTS DATA – DEPOSITORY PARTICIPANTS AND STOCK BROKERS

DATA FOR MONTH ENDING AUGUST -2023

| Sr. No. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|---------|------------------------------|---|---|--------------------------------------|-----------|--------------------------------------|--|---|
| | · | | Pending for less than 3 months | Pending for more than 3 months | | | | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | | 0 |



TREND OF MONTHLY DISPOSAL OF COMPLAINTS

| Sr. No. | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|---------|----------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | December 2021 | 0 | 0 | 0 | 0 |
| 2 | January 2022 | 0 | 0 | 0 | 0 |
| 3 | February 2022 | 0 | 0 | 0 | 0 |
| 4 | March 2022 | 0 | 0 | 0 | 0 |
| 5 | April 2022 | 0 | 0 | 0 | 0 |
| 6 | MAY 2022 | 0 | 0 | 0 | 0 |
| 7 | JUNE 2022 | 0 | 0 | 0 | 0 |
| 8 | JULY 2022 | 0 | 0 | 0 | 0 |
| 9 | AUGUST 2022 | 0 | 0 | 0 | 0 |
| 10 | SEPTEMBER 2022 | 0 | 0 | 0 | 0 |
| 11 | OCTOBER 2022 | 0 | 0 | 0 | 0 |
| 12 | NOVEMBER 2022 | 0 | 0 | 0 | 0 |
| 13 | DECEMBER 2022 | 0 | 0 | 0 | 0 |
| 14 | JANUARY 2023 | 0 | 0 | 0 | 0 |
| 15 | FEBRUARY 2023 | 0 | 0 | 0 | 0 |
| 16 | MARCH 2023 | 0 | 0 | 0 | 0 |
| 17 | APRIL 2023 | 0 | 0 | 0 | 0 |
| 18 | MAY 2023 | 0 | 0 | 0 | 0 |
| 19 | JUNE 2023 | 0 | 0 | 0 | 0 |
| 20 | JULY 2023 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |



*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Received during Resolved during Sr. No. Year Carried forward From previous year Pending at the the year the year end of the year 2017-18 2018-19 2019-20 2020-21 2021-22 2022-23 2023-24 **Grand Total**

TREND OF ANNUAL DISPOSAL OF COMPLAINTS