

Investor Complaints

INVESTOR COMPLAINTS DATA – DEPOSITORY PARTICIPANTS AND STOCK BROKERS

DATA FOR MONTH ENDING NOVEMBER –2023

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time [^] (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	8	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

TREND OF MONTHLY DISPOSAL OF COMPLAINTS

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	December 2021	0	0	0	0
2	January 2022	0	0	0	0
3	February 2022	0	0	0	0
4	March 2022	0	0	0	0
5	April 2022	0	0	0	0
6	MAY 2022	0	0	0	0
7	JUNE 2022	0	0	0	0
8	JULY 2022	0	0	0	0
9	AUGUST 2022	0	0	0	0
10	SEPTEMBER 2022	0	0	0	0
11	OCTOBER 2022	0	0	0	0
12	NOVEMBER 2022	0	0	0	0
13	DECEMBER 2022	0	0	0	0
14	JANUARY 2023	0	0	0	0
15	FEBRUARY 2023	0	0	0	0
16	MARCH 2023	0	0	0	0
17	APRIL 2023	0	0	0	0
18	MAY 2023	0	0	0	0
19	JUNE 2023	0	0	0	0
20	JULY 2023	0	0	0	0

19	AUGUST 2023	0	0	0	0
20	SEPTEMBER 2023	0	0	0	0
21	OCTOBER 2023	0	0	0	0
22	NOVEMBER 2023	0	0	0	0
	Grand Total	0	0	0	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

TREND OF ANNUAL DISPOSAL OF COMPLAINTS

Sr. No.	Year	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
	Grand Total	0	0	0	0