

# Investor Complaints

## INVESTOR COMPLAINTS DATA – DEPOSITORY PARTICIPANTS AND STOCK BROKERS

### DATA FOR MONTH ENDING OCTOBER -2023

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time <sup>^</sup> (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7	8	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

### TREND OF MONTHLY DISPOSAL OF COMPLAINTS

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	December 2021	0	0	0	0
2	January 2022	0	0	0	0
3	February 2022	0	0	0	0
4	March 2022	0	0	0	0
5	April 2022	0	0	0	0
6	MAY 2022	0	0	0	0
7	JUNE 2022	0	0	0	0
8	JULY 2022	0	0	0	0
9	AUGUST 2022	0	0	0	0
10	SEPTEMBER 2022	0	0	0	0
11	OCTOBER 2022	0	0	0	0
12	NOVEMBER 2022	0	0	0	0
13	DECEMBER 2022	0	0	0	0
14	JANUARY 2023	0	0	0	0
15	FEBRUARY 2023	0	0	0	0
16	MARCH 2023	0	0	0	0
17	APRIL 2023	0	0	0	0
18	MAY 2023	0	0	0	0
19	JUNE 2023	0	0	0	0
20	JULY 2023	0	0	0	0

19	AUGUST 2023	0	0	0	0
20	SEPTEMBER 2023	0	0	0	0
21	OCTOBER 2023	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### TREND OF ANNUAL DISPOSAL OF COMPLAINTS

Sr. No.	Year	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
	<b>Grand Total</b>	0	0	0	0