# **Investor Complaints**

#### **INVESTOR COMPLAINTS DATA – DEPOSITORY PARTICIPANTS AND STOCK BROKERS**

## DATA FOR MONTH ENDING FEBRUARY - 2025

| Sr. No. | Received<br>from   | Carried forward<br>from previous<br>month | Received<br>during the<br>month | Total<br>Pending | Resolved* | Pending at the end of the month** |           | Average<br>Resolution<br>time^<br>(in days) |
|---------|--|---|---------------------------------|------------------|-----------|-----------------------------------|-----------|---|
|         | Pending Pending for<br>for less more than<br>than 3 3 months<br>months |   |                                 |                  |           |                                   | more than |   |
| 1       | 2  | 3   | 4                               | 5                | 6         | 7                                 |           | 8   |
| 1       | Directly from<br>Investors   | 0   | 0                               | 0                | 0         | 0                                 |           | 0   |
| 2       | SEBI<br>(SCORES)   | 0   | 0                               | 0                | 0         | 0                                 |           | 0   |
| 3       | Depositories   | 0   | 0                               | 0                | 0         | 0                                 |           | 0   |
| 4       | Other<br>Sources<br>(if any)   | 0   | 0                               | 0                | 0         | 0                                 |           | 0   |
| 5       | Grand Total  | 0   | 0                               | 0                | 0         | 0                                 |           | 0   |



#### TREND OF MONTHLY DISPOSAL OF COMPLAINTS

| Sr. No. | Month          | Carried forward from previous month | Received | Resolved* | Pending** |
|---------|----------------|-------------------------------------|----------|-----------|-----------|
| 1       | 2              | 3                                   | 4        | 5         | 6         |
| 1       | DECEMBER 2021  | 0                                   | 0        | 0         | 0         |
| 2       | JANUARY 2022   | 0                                   | 0        | 0         | 0         |
| 3       | FEBRUARY 2022  | 0                                   | 0        | 0         | 0         |
| 4       | MARCH 2022     | 0                                   | 0        | 0         | 0         |
| 5       | APRIL 2022     | 0                                   | 0        | 0         | 0         |
| 6       | MAY 2022       | 0                                   | 0        | 0         | 0         |
| 7       | JUNE 2022      | 0                                   | 0        | 0         | 0         |
| 8       | JULY 2022      | 0                                   | 0        | 0         | 0         |
| 9       | AUGUST 2022    | 0                                   | 0        | 0         | 0         |
| 10      | SEPTEMBER 2022 | 0                                   | 0        | 0         | 0         |
| 11      | OCTOBER 2022   | 0                                   | 0        | 0         | 0         |
| 12      | NOVEMBER 2022  | 0                                   | 0        | 0         | 0         |
| 13      | DECEMBER 2022  | 0                                   | 0        | 0         | 0         |
| 14      | JANUARY 2023   | 0                                   | 0        | 0         | 0         |
| 15      | FEBRUARY 2023  | 0                                   | 0        | 0         | 0         |
| 16      | MARCH 2023     | 0                                   | 0        | 0         | 0         |
| 17      | APRIL 2023     | 0                                   | 0        | 0         | 0         |
| 18      | MAY 2023       | 0                                   | 0        | 0         | 0         |
| 19      | JUNE 2023      | 0                                   | 0        | 0         | 0         |
| 20      | JULY 2023      | 0                                   | 0        | 0         | 0         |

**PINC** INFINITY

| 21 | AUGUST 2023    | 0 | 0 | 0 | 0 |
|----|----------------|---|---|---|---|
| 22 | SEPTEMBER 2023 | 0 | 0 | 0 | 0 |
| 23 | OCTOBER 2023   | 0 | 0 | 0 | 0 |
| 24 | NOVEMBER 2023  | 0 | 0 | 0 | 0 |
| 25 | DECEMBER 2023  | 0 | 0 | 0 | 0 |
| 26 | JANUARY 2024   | 0 | 0 | 0 | 0 |
| 27 | FEBRUARY 2024  | 0 | 0 | 0 | 0 |
| 28 | MARCH 2024     | 0 | 0 | 0 | 0 |
| 29 | APRIL 2024     | 0 | 0 | 0 | 0 |
| 30 | MAY 2024       | 0 | 0 | 0 | 0 |
| 31 | JUNE 2024      | 0 | 0 | 0 | 0 |
| 32 | JULY 2024      | 0 | 0 | 0 | 0 |
| 33 | AUGUST 2024    | 0 | 0 | 0 | 0 |
| 34 | SEPTEMBER 2024 | 0 | 0 | 0 | 0 |
| 35 | OCTOBER 2024   | 0 | 0 | 0 | 0 |
| 36 | NOVEMBER 2024  | 0 | 0 | 0 | 0 |
| 37 | DECEMBER 2024  | 0 | 0 | 0 | 0 |
| 38 | JANUARY 2025   | 0 | 0 | 0 | 0 |
| 39 | FEBRUARY 2025  | 0 | 0 | 0 | 0 |

| Grand Total | 0 | 0 | 0 | 0 |
|-------------|---|---|---|---|
|             |   |   |   |   |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



## TREND OF ANNUAL DISPOSAL OF COMPLAINTS

| Sr. No. | Year        | Carried forward From previous year | Received during<br>the year | Resolved during<br>the year | Pending at the<br>end of the year |
|---------|-------------|------------------------------------|-----------------------------|-----------------------------|-----------------------------------|
| 1       | 2017-18     | 0                                  | 0                           | 0                           | 0                                 |
| 2       | 2018-19     | 0                                  | 0                           | 0                           | 0                                 |
| 3       | 2019-20     | 0                                  | 0                           | 0                           | 0                                 |
| 4       | 2020-21     | 0                                  | 0                           | 0                           | 0                                 |
| 5       | 2021-22     | 0                                  | 0                           | 0                           | 0                                 |
| 6       | 2022-23     | 0                                  | 0                           | 0                           | 0                                 |
| 7       | 2023-24     | 0                                  | 0                           | 0                           | 0                                 |
| 8       | 2024-25     | 0                                  | 0                           | 0                           | 0                                 |
|         | Grand Total | 0                                  | 0                           | 0                           | 0                                 |